

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: LOBOVSKY, et al. Conf.:  
Appl. No.: new Group:  
Filed: herewith Examiner:  
For: SPINNING, PROCESSING, AND  
APPLICATIONS OF CARBON NANOTUBE  
FILAMENTS, RIBBONS, AND YARNS

**INFORMATION DISCLOSURE STATEMENT**  
**(SUBMISSION WITH CONTINUATION-IN-PART OR**  
**RULE 1.53(b) CONTINUATION OR DIVISIONAL APPLICATION)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

November 4, 2003

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

**I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION**

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-1449 form(s), attached hereto.

**II. REFERENCES PREVIOUSLY CITED OR SUBMITTED**

Pursuant to 37 C.F.R. § 1.98(d), consideration of information listed on the PTO-1449 form(s) is requested since any patents, publications, or other information which are listed on the PTO-1449 form(s) but for which copies are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Appl. No(s).  
09/946,432

U.S. Filing Date(s)  
September 4, 2001

III. FEES

This Information Disclosure Statement is being filed concurrent with the filing of a continuation-in-part, continuation, or divisional patent application; therefore, no fee is required.

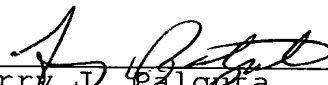
If the Examiner has any questions concerning this IDS or requires a copy of any of the references cited but not provided, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 01-1125.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 01-1125 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

HONEYWELL INTERNATIONAL INC.

By

  
Larry J. Palguta  
Reg. No. 29,575  
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Attachment(s): ☒ PTO-1449(s)

☐ References

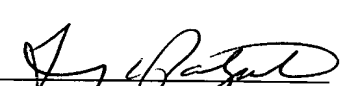
☐ Foreign Search Report

☐ Other:

(Rev. 09/30/03)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 4, 2003.

  
Larry J. Palguta, Attorney  
574/231-2319

Form PTO-1449

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

(Use several sheets if necessary)

ATTY DOCKET NO.

H0001674

APPLICATION  
NO.

new

APPLICANT

Alex LOBOVSKY, et al.

FILING DATE

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GROUP

**U. S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	2	5	1	0	1	3	5	06/06/1950	Pedlow et al.			
	3	3	8	2	5	3	5	05/14/1968	Ferrari			
	4	0	1	5	9	2	4	04/05/1977	LaNieve			
	6	0	3	1	7	1	1	02/29/2000	Tennent et al.			
	6	2	9	9	8	1	2	10/09/2001	Newman et al.			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
												YES	NO
	WO	01	92	3	8	1	A1	12/06/2001	PCT				
	WO	01	63	0	2	8	A1	08/30/2001	PCT				
	WO	00	26	1	3	8	A1	05/11/2000	PCT				
	WO	00	17	1	0	2	A1	03/30/2000	PCT				
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	Dresselhaus et al., <i>Mrs. Bulletin</i> , pp.45-50 (November 1999)
	Britto et al., <i>Adv. Mater.</i> , Vol. 11, No. 2, pp.154-157 (1999)
	Stephan et al., <i>Synthetic Metals</i> , Vol. 108, pp.139-149 (2000)
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	Burghard et al., <i>Adv. Mater.</i> , Vol. 10, No. 8, (1998)
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	Fang et al., <i>J. Mater. Res.</i> , Vol. 13, No. 9, pp.1-7 (Sept. 1998)
	Ishigami et al., <i>Chemical Physics Letters</i> , Vol 319, pp.457-459 (2000)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

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**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION
					YES NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, etc.)

	Lourie et al., <i>Adv. Mater.</i> , Vol. 11, No. 11, pp.931-934 (1999)
	Journet et al., <i>Production of Carbon Single Wall Nanotubes with Various Diameters</i> , pp.47
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	Rueckes et al., <i>Science</i> , Vol. 289, pp.94-98 (July 7, 2000)

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